| SEC For   | m 4   |  |  |  |  |   |        |                    |      |  |          |   |  |                  |   |  |  |                     |   |  |  |
|---|---|--|--|--|--|---|--------|--------------------|------|--|----------|---|--|------------------|---|--|--|---------------------|---|--|--|
| FORM 4 UNITE  |   |  |  | ED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549 |  |   |        |                    |      |  |          |   |  |                  |   |  |  | OMB APPROVAL        |   |  |  |
| Check<br>Section<br>obligat<br>Instruc  | d purs  | suant to                                   | o Sec  | tion 16(   | a) of  | the Secu  | uritie | EFICI<br>es Exchan |      | SHIP   | Estim    | OMB Number: 32<br>Estimated average burden<br>hours per response: |  |                  |   |  |  |                     |   |  |  |
| 1. Name and Address of Reporting Person*<br>Houston John G  |   |  |  |  |  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>ARVINAS, INC.</u> [ ARVN ] |        |                    |      |  |          |   |  |                  |   | eck all appli<br>X Directo   | cable)<br>or   | 10% Own             |   |  |  |
|   | C/O ARVINAS, INC.   |  |  |  | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/16/2021 |   |        |                    |      |  |          |   |  |                  |   | X Officer (give title Other (specify below) below) President and CEO |  |                     |   | specny   |  |
| 5 SCIENCE PARK, 395 WINCHESTER AVE<br>(Street)<br>NEW HAVEN CT 06511<br>(City) (State) (Zip)          |   |  |  | l  | 4. 1   | Line)   |        |                    |      |  |          |   |  |                  |   | iled by On<br>iled by Mo   | oint/Group Filing (Check Applicable<br>ed by One Reporting Person<br>ed by More than One Reporting             |                     |   |  |  |
| Table I - Non-Deriva           1. Title of Security (Instr. 3)         2. Transa<br>Date<br>(Month/D) |   |  |  |  | action   | ction 2A. Deeme<br>Execution  |        |                    | e,   | ired, D<br>3.<br>Transact<br>Code (In<br>8)                  | ion      | 4. Securities Acquired<br>Disposed Of (D) (Instr.<br>5)           |  | I (A) or         | 5. Amou<br>Securiti<br>Benefici<br>Owned I<br>Reporte | nt of<br>es<br>ally<br>Following<br>d                                | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>g (I) (Instr. 4)  |                     | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common Stock 02/16  |   |  |  |  | 5/202  | /2021   |        |                    |      | Code V<br>M <sup>(1)</sup>                                   | /        | Amount  | (  | A) or<br>D)<br>A | Price   | (Instr. 3  | Transaction(s)<br>(Instr. 3 and 4)<br>817,760  |                     | D   |  |  |
|   |   | 1  | able II -                                      | Derivat<br>(e.g., p  |  |   |        |                    |      |  |          |   |  |                  |   | v Owned  |  |                     | I   |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)   | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deeme<br>Execution<br>if any<br>(Month/Day | Date,  | Code (Inst   |   |        |                    | Exp  | 6. Date Exercisable a<br>Expiration Date<br>(Month/Day/Year) |          |   | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Secu<br>(Instr. 3 and 4) |                  |   | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)                  | 9. Numbe<br>derivative<br>Securities<br>Beneficia<br>Owned<br>Following<br>Reported<br>Transacti<br>(Instr. 4) | e<br>s<br>Illy<br>I | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |  |  |  | Code   | v   | (A)    | (D)                | Date | te<br>ercisable  | Ex<br>Da | piration<br>ate   | Title  |                  | Amount<br>or<br>Jumber<br>of<br>Shares                |  |  |                     |   |  |  |
| Stock<br>Option<br>(right to  | \$16  | 02/16/2021                                 |  |  | <b>M</b> <sup>(1)</sup>  |   |        | 1,560              |      | (1)  | 09       | /25/2028  | Comn   |                  | 1,560   | \$0.00   | 87,32  | 0                   | D   |  |  |

Explanation of Responses:

1. This option award was granted on September 26, 2018. 1/4 of the shares underlying the award vested on March 31, 2019, with the remainder of the shares vesting in equal monthly installments following March 31, 2019 through March 31, 2022.

## **Remarks:**

buy)

## /s/ Matthew Batters, as attorney-in-fact for John G Houston

02/18/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.