## SEC Form 4

### FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

Instruction 1(b).		Fi	led pursuant to Section 16(a) of the Securities Exchange Act of 19		nours per response: 0.5			
			or Section 30(h) of the Investment Company Act of 1940	-				
1. Name and Addre		g Person <sup>*</sup>	2. Issuer Name <b>and</b> Ticker or Trading Symbol ARVINAS, INC. [ARVN]		tionship of R all applicabl	Reporting Person(s) to Issuer ble)		
<u>Ratcliffe Liam</u>			<u>,</u> []	X	Director		10% Owner	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)     12/14/2021	-	Officer (giv below)	e title	Other (specify below)	
C/O ARVINAS	, INC.		12/14/2021					
5 SCIENCE PA	.RK, 395 WI	NCHESTER AVE.	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint	pint/Group Filing (Check Applicable		
(Street)				X	Form filed	by One Rep	orting Person	
NEW HAVEN	СТ	06511	_		Form filed Person	by More tha	n One Reporting	
(City)	(State)	(Zip)						

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Common Stock	12/14/2021		S		119,456	D	<b>\$</b> 66.0084 <sup>(1)</sup>	421,935	Ι	See footnote <sup>(2)</sup>		
Common Stock	12/14/2021		s		36,308	D	\$65.3839 <sup>(3)</sup>	385,627	I	See footnote <sup>(2)</sup>		
Common Stock	12/14/2021		s		700	D	\$64.2018(4)	384,927	I	See footnote <sup>(2)</sup>		
Common Stock	12/15/2021		s		40,292	D	<b>\$</b> 67.4643 <sup>(5)</sup>	344,635	Ι	See footnote <sup>(2)</sup>		
Common Stock	12/15/2021		s		67,549	D	<b>\$66.50</b> 47 <sup>(6)</sup>	277,086	I	See footnote <sup>(2)</sup>		
Common Stock	12/15/2021		s		5,695	D	\$65.7359 <sup>(7)</sup>	271,391	I	See footnote <sup>(2)</sup>		
Common Stock	12/16/2021		s		48,499	D	\$69.6589 <sup>(8)</sup>	222,892	Ι	See footnote <sup>(2)</sup>		
Common Stock	12/16/2021		s		37,206	D	\$68.9682 <sup>(9)</sup>	185,686	I	See footnote <sup>(2)</sup>		

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of E		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

#### Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$66.66 to \$65.67, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer or the Staff of the Securities and Exchange Commission (the "SEC"), upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (1).

2. The reported shares are held directly by AI Biotechnology LLC ("AIB"), a limited liability company of which the reporting person is the holder of units that are treated as profits interest units. The units owned by the reporting person do not convey a right to vote or dispose of the shares of the Issuer's Common Stock held by AIB. The reporting person disclaims beneficial ownership of all shares held by AIB and this report shall not be deemed an admission of beneficial ownership of such shares for the purposes of Section 16 or for any other purpose except to the extent of his pecuniary interest therein.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$65.665 to \$64.70, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer or the Staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (3).

4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$64.34 to \$64.03, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer or the Staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (4).

5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$67.94 to \$66.94, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer or the Staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (5).

6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$66.93 to \$65.93, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer or the Staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this

footnote (6).

7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$65.92 to \$65.45, inclusive. The reporting person undertakes to ... Inclusive reported in Column 4 to a weighted average pirce. These shares were solid in multiple transactions at prices ranging from \$65.92 to \$65.45, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer or the Staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (7).

8. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$70.28 to \$69.28, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer or the Staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (8).

9. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$69.275 to \$68.335, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer or the Staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (9).

**Remarks:** 

/s/ Matthew Batters, as attorney-in-fact for Liam **Ratcliffe** 

12/16/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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